

FORM PTO-1390
(REV 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

10873.1909USWO

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

UNKNOWN **10/583071**

INTERNATIONAL APPLICATION NO.

PCT/JP2004/017146

INTERNATIONAL FILING DATE

NOVEMBER 18, 2004

PRIORITY DATE CLAIMED

DECEMBER 26, 2003

TITLE OF INVENTION

DISPLAY APPARATUS

APPLICANT(S) FOR DO/EO/US

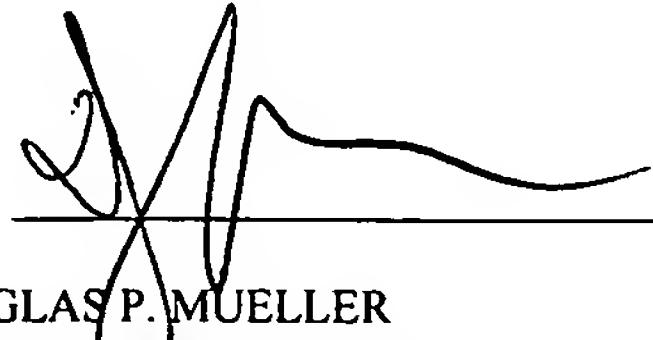
TAKEUCHI et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 Form 1449, 19 references, copy of International Search Report (Form PCT/ISA/210).
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Application Data Sheet (3 pages), International Publication Page(s) of WO 2005/066920 A1, Communication re: Requested Figure, Form PCT/ISA/409 (in Japanese language), Form PCT/IB/304, Form PCT/IB/308 (Second and Supplementary), English translation of Article 34 Amendments (1st, rec'd June 6, 2005, 2nd- rec'd December 16, 2005), English translation of Written Reply (1st and 2nd- filed twice).

U.S. APPLICATION NO. (Unknown) sec 37 CFR 1.53 UNKNOWN 107583071		INTERNATIONAL APPLICATION NO. PCT/JP2004/017146		ATTORNEY'S DOCKET NUMBER 10873.1909USWO	
BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):					
[X] a) Basic National fee.....\$300.00				\$300.00	
[X] b) Examination fee.....\$200.00				\$200.00	
[X] c) Search fee.....\$500.00				\$500.00	
TOTAL OF ABOVE CALCULATIONS =				\$1000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate		
20 - 100 =	0 /50 =		X \$250.00	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	8	-20 = 0	X \$50.00	\$0.00	
Independent claims	1	-3 = 0	X \$200.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$1000.00	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$0.00	
SUBTOTAL =				\$1000.00	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$0.00	
TOTAL NATIONAL FEE =				\$1000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$0.00	
TOTAL FEES ENCLOSED =				\$1000.00	
				Amount to be: refunded	\$0.00
				charged	\$0.00
<p>a. [X] Check(s) in the amount of <u>\$1000.00</u> to cover the above fees is enclosed.</p> <p>b. [] Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-3478</u>.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO: DOUGLAS P. MUELLER Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402</p>			<p>SIGNATURE: </p> <p>NAME: DOUGLAS P. MUELLER REGISTRATION NUMBER: 30,300</p>		

10/583071

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: TAKEUCHI et al.
 Docket: 10873.1909USWO
 Title: DISPLAY APPARATUS

15 JUN 2006

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 858803691 US

Date of Deposit: JUNE 15, 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to MS PCT, Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

By: 

Name: ABBIE LARKIN

Mail Stop PCT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ National Stage PCT Patent Application: Spec. 13 pgs; 9 claims (2 pages); Abstract-1 pg.
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 4 sheets of formal drawings
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ Check in the amount of \$1000.00 for the filing fee
- ☒ Information Disclosure Statement, Form 1449, 19 references.
- ☒ Application Data Sheet, 3 pages.
- ☒ Other: Form PTO-1390, International Publication page of WO 2005/066920 A1, translation of the International Application into English, translation of the annexes to the International Preliminary Examination Report under PCT Article 36, English translation of Article 34 Amendments (1st, rec'd June 6, 2005 and 2nd, rec'd December 16, 2005), English translation of Written Reply (1st and 2nd - filed twice) Preliminary Amendment, Communication re: Requested Figure, PCT/ISA/210, Form PCT/IB/304, Form PCT/IB/308 (Second and Supplementary), Form PCT/IPEA/409 (in Japanese language).
- ☒ Return postcard

CLAIMS AS FILED

Number of Claims Filed	No.	In Excess of	Extra	Rate	Fee
Total Claims	8	20	0	50.00	0.00
Independent Claims	1	3	0	200.00	0.00
Multiple Dependent Claims Fee					0.00
Basic Filing Fee					300.00
Search Fee					500.00
Examination Fee					200.00
Utility Application Size Fee	20	100	0	250.00	0.00
Total					1000.00

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.
 P.O. Box 2902-0902 Minneapolis, MN 55402
 612.455-3800

By: 

Name: Douglas R. Mueller

Reg. No.: 30,600

Initials: DPM:nel

53148

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)

10/583071

1AP20 Rec'd PCT/PTO 15 JUN 2006

S/N unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	TAKEUCHI et al.	Examiner:	unknown
Serial No.:	unknown	Group Art Unit:	unknown
Filed:	concurrent herewith	Docket No.:	10873.1909USWO
Title:	DISPLAY APPARATUS		

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 858803691 US

Date of Deposit: JUNE 15, 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Name: ABBIE LARKIN

COMMUNICATION REGARDING REQUESTED FIGURE

MS PCT
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In connection with the above-identified application, applicant respectfully requests that Figure 1 be printed on the front page of the published application.

If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of record, Douglas P.

Mueller (Reg. No. 30,300), at (612)455.3804.

Respectfully submitted,

Hamre, Schumann, Mueller & Larson, P.C.
P.O. Box 2902-0902
Minneapolis, Minnesota 55402-0902
(612) 455.3800

By 

Douglas P. Mueller
Reg. No. 30,300

Dated: JUNE 15, 2006

DPM/nel